

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

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Applicants: Robert Patrick HOF

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U.S. PATENT DOCUMENTS

Initial	Document No.	Date	Name	Class	Sub-Class	Filing Date
Y0	1. US 6,278,001	August 21, 2001	Solladie et al.			
	2. US 6,784,171	August 31, 2004	Taylor et al.			
	3. US 6,844,437	January 18, 2005	Taylor et al.			
	4. US 6,870,059	March 22, 2005	Kooistra et al.			
✓	5. US 20060040898	February 23, 2006	Puthiaparampil et al.			

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub-Class	Translation
6. WO 93/08823	May 13, 1993	WIPO			
7. WO 97/19917	June 5, 1997	WIPO			US 6,278,001
8. WO 00/49014	August 24, 2000	WIPO			
9. WO 01/72706	October 4, 2001	WIPO			
10. WO 01/85975	November 15, 2001	WIPO			
11. WO 03/006439	January 23, 2003	WIPO			
12. WO 2004/014872	February 19, 2004	WIPO			
13. WO 2004/054986	July 1, 2004	WIPO			
14. WO 2004/108691	December 16, 2004	WIPO			
15. WO 2004/113314	December 29, 2004	WIPO			
16. WO 2005/023779	March 17, 2005	WIPO			
17. WO 2005/028450	March 31, 2005	WIPO			
18. WO 2005/042522	May 12, 2005	WIPO			
19. WO 2006/067456	June 29, 2006	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Y0	20. March, Advanced Organic Chemistry: Reactions, Mechanisms, and Structure, 4th ed., John Wiley & Sons, Inc, p. 378 (1992)
	21. Menges et al. "Oxidative Degradation of γ -Butyrolactons into 1,3-Diols via a Criegee Rearrangement of Peroxosulfonates. An Enantioselective Synthesis of Compactin Lactone and its Diastereomer" Synlett 12:901-905 (1993)
	22. Morrison and Boyd "Alkaline hydrolysis of esters" Lehrbuch der Organischen Chemie, 2nd ed., Verlag Chemie, p. 739 (1978) (Translation enclosed)
	23. Presentation given at the 20th International Congress of Heterocyclic Chemistry in Palermo, 1st-5th August 2005
	24. Presentation given at the Gordon Conference on Heterocyclic Compounds, Salve Regina University, Newport, Rhode Island, 4th-9th July 2004
✓	25. Shao et al. "Asymmetric hydrogenation of 3,5-Dioxoesters catalyzed by Ru-binap complex: A short step asymmetric synthesis of 6-substituted 5,6-dihydro-2-pyrones" Tetrahedron 49(10):1997-2010 (1993)

Examiner

T.A. Solola

Date Considered

10-27-06

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.